



2008 Aquaculture Grant Program APPLICATION

Pat Quinn, Governor * Thomas E. Jennings, Director

Marketing and Promotion, State Fairgrounds, P. O. Box 19281, Springfield, Illinois 62794-9281 * Phone - 217/524-9129 * Fax - 217/524-5960

APPLICATION DEADLINE: September 1, 2009

SECTION 1 - APPLICANT INFORMATION

Name of Applicant *(Must match name and Tax Payer Identification number on For W-9)*

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SECTION 2 - BUSINESS CONTACT INFORMATION

(Fill In SS# or FEIN#)

Social Security Number

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Federal Employee Identification Number (FEIN)

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If not an individual, please provide a DUNS number.

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Free DUNS # registration:
<http://fedgov.dnb.com/webform>

SECTION 3 - BUSINESS CONTACT INFORMATION

Business/Farming Operation Name

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Address	City	State	Zip	County
		IL		

Phone	Business Phone

Cell Phone or Pager	Fax

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Contact *(First name, Middle Initial, Last name)*

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For IDOA Use Only

Total Eligible Reimbursement	Approved by	Date	
Voucher Number	Voucher Date		

SECTION 4 - STATUS OF AQUACULTURE OPERATION

A.	Do you hold a current, valid permit with the Illinois Department of Natural Resources for 2009? <i>(If you were permitted in 2008 and have not yet submitted your application for 2009 you have until the deadline for this application to submit your 2009 permit application to IDNR)</i>	Yes	
		No	
B.	Did you hold a current, valid permit with the Illinois Department of Natural Resources for 2008?	Yes	
		No	
C.	Have you been in active aquaculture production during 2009?	Yes	
		No	
D.	Were you in active aquaculture production during 2008?	Yes	
		No	
E.	Do you operate an aquaculture operation in more than one state?	Yes	
	If yes, name the state(s):	No	
F.	List all aquaculture species you raise that you will be applying for in this application.		

SECTION 5 - REPORT OF TOTAL FEED PURCHASES

2008 Total Feed Deliveries to Applicant

	A. Pounds <small>(Total Pounds of Feed Purchased)</small>	B. Dollars <small>(Total \$ spent on Feed reported in 5A)</small>	C. Average Feed Price <small>(5A divided by 5B)</small>
Deliveries to the producer for use in the producer's aquaculture operation in calendar year 2008 for the applicable aquaculture species located within the State of Illinois and listed in 4F above.			

2008 Estimated Percentage of Feed Costs as Part of Total Input Costs for Applicant's Aquaculture Operation	%
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SECTION 6 - REPORT OF FEED PURCHASES

If you are applying for multiple species, print and complete this section for each species.

2008 Feed Purchases and Feed Costs Calculations

A.	What type of aquaculture species does the following information apply? <i>(Example: Catfish, Striped Bass, Tilapia, etc.)</i>			
B.	Are you currently an active aquaculture producer for this species? <i>Aquaculture production is defined as raising an aquaculture species in a controlled environment, maintaining the species as part of your farming operation and having risk in the production of such species.</i>	Yes		
		No		
C.	Were you an active aquaculture farming operation for this species during the eligibility period (January 1, 2008 - December 31, 2008)?	Yes		
		No		
D.	Were your 2008 feed costs at least 25% of your input costs of the aquaculture operation during calendar year 2008?	Yes		
		No		
E.	What was your average price for feed for each of the last six years. Please provide this information as Dollars per Unit of Measure, such as dollar per pound (\$/lb.) or dollar per ton (\$/ton).			
	Dollars (\$)		Unit of Measure <i>(Pounds or Tons)</i>	
	Calendar Year 2003		/	
	Calendar Year 2004		/	
	Calendar Year 2005		/	
	Calendar Year 2006		/	
Calendar Year 2007		/		
<i>Please provide any additional information that you feel would be helpful in demonstrating your feed costs.</i>				
F.	What were the <u>total units of feed purchased and used</u> in calendar year 2008 for this aquaculture species? <i>Please include unit of measure such as pounds, tons, etc.</i>			

REQUIRED SUPPORTING DOCUMENTATION:

Producers applying for 2008 Aquaculture Grant Program funds must provide IDOA with the following information regarding feed purchased and used during the calendar year 2008:

- copies of invoices or receipts from calendar year 2008;
- copy of credit card statement listing payment to a vendor as a line item (all information other than cardholder's name and line item related to the charge should be redacted by striking out information with a black mark);

or

- official documentation from feed suppliers showing buyer's name, the date of purchase, amount of feed bought and price per ton during calendar year 2008. This documentation must be notarized.

Applications returned without documentation to support eligible feed losses will be deemed as having "zero" eligible losses and no grant will be awarded. If information provided is not adequate, IDOA may require additional information or documentation.

Continue data on additional sheets if necessary.

The Recovery Act specifically states that AGP funding will be allocated on a pro rata basis, based on the amount of aquaculture feed used in each State during the 2007 calendar year. Therefore, the amount of the grant to the State from CCC is based on the total aquaculture feed delivered in the State in the 2007 calendar year, as determined by the State. The State's 2007 feed deliveries must include feed for **all** aquaculture species in the State that was delivered to an individual or entity that is still in operation in 2009. In addition, the State's 2007 feed deliveries must **not** include 2007 feed deliveries to Federal or State-owned hatcheries.

The State shall establish a 2003 - 2007 5-year average feed price for each eligible aquaculture species in the State based on information collected from submitted applications. Each producer's average feed prices per species for 2003 - 2007 will be collected on the submitted application and will be combined with other submitted applications to determine the State's 5-year average for each eligible species. Eligible species shall be those for which 2008 feed costs represented at least 25 percent of the producer's total input costs for the aquaculture operation, as certified by the producer.

If the total amount of benefits calculated after applying the payment limitation for all eligible applicants in a State exceeds the grant amount provided by USDA/CCC, the State shall reduce the amount of assistance calculated for each applicant on a pro rata basis.

The total amount of assistance that a person may receive from the funds made available under this Agreement shall not exceed \$100,000, except for general partnerships and joint ventures in which case assistance shall not exceed \$100,000 times the number of members that constitute the general partnership or joint venture. The rules of 7 CFR Part 1400 shall apply to "person" determinations (the rules for attributing payments in addition to the owners of entities and subentities will not apply). 7 CFR Part 1400 is available at [www.access.gpo.gov/nara/cfr/waisidx/04/7c\(r1400_04.html](http://www.access.gpo.gov/nara/cfr/waisidx/04/7c(r1400_04.html)). The payment limitation shall be applied to an applicant based on the applicant's business structure as it existed during calendar year 2008.

No person shall be eligible for assistance if they are not in compliance for calendar year 2008 with the conservation compliance provisions of 7 CFR Part 12. Likewise, no person shall be eligible to receive assistance if their adjusted gross income, as computed under 7 CFR Part 1400 with respect to 2008 programs, averaged more than \$2.5 million for calendar years 2005-2007

Assistance shall only be provided to an eligible aquaculture producer that:

- a. Produced an aquaculture species for which 2008 feed costs represented at least 25 percent of the producer's total input costs for the aquaculture operation, as certified by the producer;
- b. Experienced at least a 25 percent price increase of 2008 feed costs above the State's previous 5-year average (2003-2007) established for the applicable species. A 25 percent price increase for a species shall be determined by multiplying the State's established 2003-2007 5-year average for the applicable species times 1.25.
- c. Applicant agrees to submit to the State acceptable documentation, determined by the State, which establishes, at a minimum:
 - a. The producer's total feed deliveries in calendar year 2008 for the applicable aquaculture species; and
 - b. The producer's 2008 average feed price for the applicable aquaculture species.

Such documentation may include a certification from the feed mill that provides, at a minimum, the producer's total feed deliveries in calendar year 2008 and the producer's 2008 average feed price.

For the purpose of this Agreement, the following definitions apply.

1. Controlled environment means an environment in which everything that can practicably be controlled with structures, facilities, growing media (including but not limited to water or nutrients) by the producer, is in fact controlled by the producer, as determined by industry standards.
 2. Eligible aquaculture producer means a producer who, meets all of the following:
 - a. During the 2008 calendar year:
 - raised an aquaculture species in a controlled environment
 - maintained the aquaculture species as part of a farming operation
 - had a financial risk in the production of such species.
 - b. Raises aquaculture, as of the date of their AGP application with the State. (this document)
 - c. Meets the program loss requirements as outlined in this application.
 3. Farming operation means a business enterprise engaged in the production of agricultural products that is operated by an individual, entity, or joint operation.
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Assistance shall only be provided to eligible aquaculture producers who certify to the State or agree that:

a. The producer will not apply for or receive any assistance covered by the Supplemental Agricultural Disaster Assistance Programs established under section 531 of the Federal Crop Insurance Act and section 901 of the Trade Act of 1974 (these programs include the Emergency Assistance for Livestock, Honey Bees, and Farm-Raised Fish (ELAP), Livestock Forage Disaster Program (LFP), Livestock Indemnity Program (LIP) Supplemental Revenue Assistance Program (SURE), and Tree Assistance Program (TAP)) for any losses in 2008 relating to the same species of aquaculture;

b. Funds received by the producer under this program will be used for costs associated with their current aquaculture operation; and

c. Records on file for the producer at an applicable Farm Service Agency county office show:

1. That their average adjusted gross income does not exceed \$2.5 million for the three preceding tax years (2005-2007), as calculated under regulations in 7 CFR Part 1400; and

11. Compliance with the conservation compliance eligibility provisions for other programs found at 7 CFR Part 12. 7 CFR Part 12 is available at: http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?c=ecfr&tpl=/ecfrbrowse/Title07/7cfr12_main_02.tpl

d. Access will be provided by the applicant as needed to assure compliance with the program.

By signing below, applicant: (1) certifies that all information provided in connection with this application is true and correct; (2) acknowledges that any misrepresentation or false statement made by applicant or an authorized agent of applicant in connection with this application, whether intentional or not, will constitute grounds for denial of this application; (3) acknowledges that acceptance of funds in connection with this application acts as acceptance of the authority of the Illinois Department of Agriculture (IDOA), or any successor agency, the Illinois Auditor General's Office (IAG), or any successor agency, the U.S. Department of Agriculture (USDA), the Office of the Inspector General (OIG), and/or the Comptroller General of the United States (CGUS) to conduct an investigation in connection with those funds, and applicant further agrees to cooperate fully with IDOA or its successors, IAG or its successor, USDA, OIG and/or CGUS in the conduct of the audit or investigation, including allowing IDOA, IAG, USDA, OIG, and/or CGUS to inspect applicant's premises and providing all records requested; (4) acknowledges, if applicant is an individual, that this application and any payments owed to applicant in connection with this application may be denied due to delinquency in payment of a guaranteed student loan and for failure to pay child support; and (5) certifies that at least 25% of input cost of aquaculture operation is representative of feed costs in 2008. The person signing this application certifies that he or she is authorized to submit this application and to make the preceding certifications and acknowledgements on behalf of applicant.

All applicants involved with this program must execute whatever documents as are necessary to assure that CCC can determine that there has been full compliance with the Program Requirements as set forth in the Agreement between CCC and IDOA, including, but not limited to, program application and required supporting documentation.

All applicants involved with this program must retain financial and other records relating to the funds for a period of 3 years after completion of the distribution of grant funds or until final resolution of any audit findings or litigation claims relating to the distribution of such funds.

Notice of Penalties: The penalty for knowingly making false statements or false entries, or attempts to secure money through fraudulent means, may include fines and/or incarceration and/or forfeiture of funds.

I hereby certify all information provided in and with this application to be true and correct.	
Authorized Signature	Date

Return application and supporting documentation to Illinois Department of Agriculture. All applications must be received by IDOA by:	09/01/09
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Mailing Address:	Illinois Department of Agriculture 2008 Aquaculture Grant Program State Fairgrounds, P. O. Box 19281 Springfield, Illinois 62794-9281
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Phone	217/524-9129
Fax	217/524-5960
Email	delayne.reeves@illinois.gov

2008 Aquaculture Grant Program

FACT SHEET

How do I know whether I am eligible to apply for 2008 Aquaculture Grant Program Assistance?

An application should be completed by aquaculture producers who:

- Raise **any** aquaculture species in a controlled environment as part of an aquaculture operation permitted by the Illinois Department of Natural Resources **and** in active aquaculture production in 2008 **and** 2009;
- Have a risk in the production of such species;
- Produced an aquaculture species for which 2008 feed costs represented at least 25 percent of the producer's total input costs;
- Experienced at least a 25 percent price increase of 2008 feed costs above the previous 5 year state average (2003 - 2007); and
- Have records on file with the USDA Farm Service Agency showing compliance with program adjusted gross income limitations and conservation compliance provisions.

How do I apply? When is my application due?

- Applications and instructions for completion will be available on the IDOA Web site at <http://www.agr.state.il.us>. Producers can also request that an application and instructions be mailed to them.
- Applications must be signed and dated.
- Applications must be mailed directly to IDOA headquarters. Electronic or faxed copies will be accepted to meet the submission deadline, but IDOA must receive the signed original copy.
- Applications and supporting documentation must be received by **Tuesday, September 1, 2009.**

Are there any payment limitations?

The amount of assistance provided by Illinois to an aquaculture operation would not be permitted to exceed the smaller of:

- The amount of loss suffered by the eligible aquaculture producer as a result of high feed input costs during the 2008 calendar year; or
- \$100,000, except for general partnerships and joint ventures in which case assistance will not exceed \$100,000 times the number of members that constitute the general partnership or joint venture.

Questions? Program Contacts:

Delayne Reeves, Grant Program Manager
Phone: 217/524-9129
Fax: 217/524-5960
Email: delayne.reeves@illinois.gov

Mailing Address

2008 Aquaculture Grant Program
Illinois Department of Agriculture
State Fairgrounds, P. O. Box 19281
Springfield, IL 62794-9281

Physical Address

2008 Aquaculture Grant Program
Illinois Department of Agriculture
State Fairgrounds, 801 East Sangamon Ave.
Springfield, IL 62794-9281

APPLICATION DEADLINE:

September 1, 2009

Mailing Address	Physical Address	Fax
Illinois Department of Agriculture 2008 Aquaculture Grant Program State Fairgrounds, P. O. Box 19281 Springfield, IL 62794-9281	Illinois Department of Agriculture 2008 Aquaculture Grant Program State Fairgrounds, 801 E. Sangamon Ave. Springfield, IL 62702	Illinois Department of Agriculture Attn: Delayne Reeves 2008 Aquaculture Grant Program 217/524-5960

SECTION 1 - APPLICANT INFORMATION

- Enter the name of the applicant. This must match the name listed on the submitted W-9 form. It can be the name of an individual or company.

SECTION 2 - BUSINESS CONTACT INFORMATION

- The information entered in this section should match that submitted on the W-9 form.
- Enter **EITHER** the Social Security Number (SS#) **OR** the Federal Employee Identification Number (FEIN) of the Applicant.
- If the applicant is not an individual, a DUNS number must be provided.
 - Dun & Bradstreet (D&B) provides a DUNS #, a unique nine digit identification number, for each physical location of your business.
 - D-U-N-S Number assignment is FREE for all businesses required to register with the US Federal government for contracts or grants.
 - For FREE DUNS number registration go to: <http://fedgov.dnb.com/webform>

SECTION 3 - BUSINESS CONTACT INFORMATION

- Enter as much information as possible. This information is used to process and mail your payment and to contact you regarding any questions on your application.

SECTION 4 - STATUS OF AQUACULTURE OPERATION

- A. - D.** Applicants must hold a valid aquaculture permit from the Illinois Department of Natural Resources (IDNR) in 2008 **AND** 2009 to be eligible for this program in Illinois and have been in active aquaculture production for **BOTH** of these years in Illinois as
- If you were permitted in 2008 and have not yet submitted your application for 2009, you have until the deadline for this application (September 1, 2009) to submit your 2009 permit application to IDNR.
 - IDNR Aquaculture Permit Application information can be obtained from Steve Shults, IDNR: Ph: 618/435-8138, Fax: 618/439-7376, Email: steve.shults@illinois.gov
- E.** Producers must report if they have or will apply for 2008 Aquaculture Grant Program funds in any other states, in addition to Illinois. If they do intend to apply for funds from another state, they must list that state.
- F.** List all aquaculture species you raise that you will be applying for in this application. Aquaculture species include but are not limited to the following:
- | | |
|-----------------------------------|-----------|
| * Catfish | * Tilapia |
| * Ornaments (Goldfish, Koi, etc.) | * Bass |
| * Baitfish | * Prawns |
| * Sportfish | |
- If you apply for multiple species, **only** page 3 of the application will have to be filled out for **each** individual species.

Assistance in the form of feed credits shall only be provided to eligible aquaculture producers that:

- Produced an aquaculture species for which 2008 feed costs represented at least 25% of the producer's total input costs for the aquaculture operation; **and**
- Experienced at least a 25% price increase of 2008 feed costs above the State's previous 5 year average (2003 – 2007).

The amount of assistance provided to an eligible aquaculture producer shall not exceed the amount of losses suffered by the producer as a result of high feed input costs during the 2008 calendar year or \$100,000, whichever is smaller. A producer's loss for each aquaculture species is calculated with the following formula:

Producer's 2008 average feed price minus the State's 5-year average times the producer's total feed deliveries in calendar year 2008 for the applicable aquaculture species.

If the total amount of grants calculated for all eligible applicants, for all aquaculture species, exceeds the grant amount provided to Illinois by USDA-CCC, then Illinois shall reduce the amount of assistance calculated for each applicant on a pro rata basis.

SECTION 5 - REPORT OF TOTAL FEED PURCHASES

- A.** List your **total pounds** of feed purchased and used in calendar year 2008 for **ALL** aquaculture species listed in Section 4F of this application.
- B.** List your **total amount in dollars** of feed purchased, reported in Section 5A of this application and used in calendar year 2008 for **ALL** aquaculture species listed in Section 4F of this application.
- C.** Divide the amount in 5A of this application by 5B of this application and list the amount in **dollars** in 5C.

List the estimated percentage of **Feed Costs** as Part of **Total Input Costs** for the referenced aquaculture operation within the State of Illinois.

SECTION 6 - REPORT OF FEED PURCHASES

If you are applying for multiple species, print and complete this section for each species.

- A.** List what type of aquaculture species this page of feed costs calculation information will apply to.
Example: Catfish, Ornamentals (Goldfish, Koi, etc.), Baitfish, Sportfish, Tilapia, Bass, Prawns, etc.
- B.** If you are currently an active aquaculture producer for the species listed in the question above check the "Yes" box in this section. If your operation does not meet the full definition of catfish productions as defined by this application, check the "No" box in this section.

Aquaculture Production is defined as raising an aquaculture species in a **controlled environment**, maintaining the species as **part of your farming operation** and as **having a risk in the production of such species**.

- C.** If you were an active aquaculture farming operation for this species during the eligibility program for this grant (January 1, 2008 – December 31, 2008) check the "Yes" box. If you were not an active aquaculture farming operation for this species during this time please check the "No" box.
- D.** If your 2008 feed costs are at least 25% of your input costs of the aquaculture operation during calendar year 2008 check the "Yes" box. If your 2008 feed costs were less than 25% of your input costs of the aquaculture operation during calendar year 2008 check the "No" box.
- E.** List your average price for feed for each of the last six years. The price should be listed as Dollars per Unit of Measure. Example: dollars per pound (\$/pound). Feed costs should include transportation costs. Feed mills may build this into the cost invoiced, or they may show transportation as a separate line item. If it is shown as a separate line item, please combine it with the feed cost and report one amount.
- F.** List your total units of feed purchased and used in calendar year 2008 for this aquaculture species?
Please include unit of measure such as pounds, tons, etc.

Pages 4 & 5 - PRODUCER CERTIFICATIONS

Among the other listed statements the applicant is certifying:

- You are certifying that you have not, and will not, apply for or receive any assistance covered by the Supplemental Agricultural Disaster Assistance Programs established under section 531 of the Federal Crop Insurance Act and section 901 of the Trade Act of 1974 for any losses in 2008 relating to the same species of aquaculture.
- You are certifying that your average adjusted gross income does not exceeds \$2.5 million for calendar year 2008.
- You are certifying that you are in compliance with the conservation compliance eligibility provisions for other programs found at 7 CFR Part 12.

Notice of Penalties: The penalty for knowingly making false statements or false entries, or attempts to secure money through fraudulent means, may include fines and/or incarceration and/or forfeiture of funds.

A Completed W-9 form MUST be submitted with this application. This form is required and must be certified by the Illinois Comptroller's office before payment can be issued.

The business producer or agent must sign and date the application

Once the application, W-9 and **ALL** Required Supporting Documentation (see Page 3) are **submitted and approved** by the Illinois Department of Agriculture, two copies of the grant agreement will be sent to the applicant.